



Client Confirmation 客户确认函

(仅适用于新开通沪深股通市场签署)

TO: CNI Securities Group Limited

致：中国北方证券集团有限公司

FROM: Client Name (客户姓名) : _____

Account No./Identification No. (证券账号/或证件号) : _____

By giving this written confirmation, I/we confirm that I/we have read, understood and accepted all content in (1) the Additional Provisions and Risk Disclosures for Shanghai/Shenzhen-Hong Kong Stock Connect, (2) the Circular to Client and Other Individuals relating to the Personal Data (Privacy) Ordinance and (3) Client Consent on The Northbound Investor ID Model and The Personal Information Collection Statement concerning Northbound China Connect Orders. In case of inconsistencies between the English and Chinese versions of this Confirmation, the English version shall prevail.

透过提供此书面确认，本人/吾等确认本人/吾等已阅读、了解并接受(1) 有关沪港通/深港通附加条款及风险披露、(2) 关于个人资料(私隐) 条例致客户及其他个别人士的通函及(3) 客户同意书有关「北向交易投资者标识符模式」及有关中华通北向交易委托的个人资料收集声明内的全部内容。如本确认函中英文版本不一致时，以英文版本为准。

By ticking the box below, I/we signify my/our consent for CNI Securities Group Limited to use my/our personal data on the terms of and for the purposes set out in the abovementioned documents. **(Please tick one box only)**

通过勾选下面的方框，本人/吾等表示本人/吾等同意中国北方证券集团有限公司根据上述文件中所规定的条款及目的来使用本人/吾等的个人资料。(请只选一项)

☐ I/We **agree** that CNI Securities Group Limited makes use of my/our personal data on the terms of and for the purposes set out in the abovementioned documents.

本人/吾等 **同意** 中国北方证券集团有限公司根据上述文件中所规定的条款及目的来使用本人/吾等的个人资料。

☐ I/We **disagree** that CNI Securities Group Limited makes use of my/our personal data on the terms of and for the purposes set out in the abovementioned documents.

本人/吾等 **不同意** 中国北方证券集团有限公司根据上述文件中所规定的条款及目的来使用本人/吾等的个人资料。

* If the Client disagrees, CNI Securities Group Limited will be unable to provide related services to the Client.

* 如客户不同意，中国北方证券集团将未能提供相关服务予客户。

客户签署 (公司客户印鉴及签署) : Client Signature (for corporate client, Authorised Signature(s)* with Company Chop) 需与客户的开户申请表保持一致	Name of Client/Corporate Client 客户/公司客户名称:
	Name of Authorized Person of corporate client (if any) 公司客户获授权人士名称(如有):
	Title of Authorized Person of corporate client 公司客户获授权人称号:
	Date 日期:

Client may send client's acceptance by the following means

您可以通过以下方式发送此客户确认函:

Email 电邮: cs@cniigroup.com.hk

Post 邮寄: 香港湾仔湾仔道133号卓凌中心26楼 26/F Times Media Centre, 133 Wan Chai Road, Wanchai, HK